

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

**Complete if Known**

Application Number	New Application
Filing Date	Herewith
First Named Inventor	Daniel M. Haller
Art Unit	
Examiner Name	/Haresh Patel/ (04/07/2008)
Attorney Docket Number	SVL920030123US1

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/H.P./	AA	US- 2002-0038336 A1	03-28-2002	Abileah et al.	
/H.P./	BB	US- 2002-0042849 A1	04-11-2002	Ho et al.	
/H.P./	CC	US- 2002-0178299 A1	11-28-2002	Teubner	
/H.P./	DD	US- 6,507,856 B1	01-14-2003	Chen et al.	
/H.P./	EE	US- 6,601,071 B1	07-29-2003	Bowker et al.	
/H.P./	FF	US- 6,519,617 B1	02-11-2003	Wanderski et al.	
/H.P./	GG	US- 6,253,200 B1	06-26-2001	Smedley et al.	
	HH	US-			
	II	US-			
	JJ	US-			
	KK	US-			
	LL	US-			
	MM	US-			
	NN	US-			
	OO	US-			
	PP	US-			
	QQ	US-			
	RR	US-			
	SS	US-			

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature	/Haresh Patel/ (04/07/2008)
-----------------------	-----------------------------

Date Considered

04/07/2008

\*EXAMINER. Include if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Initial copy of this form with text communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST-16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/IS/088 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	New Application
Filing Date	Herewith
First Named Inventor	Daniel M. Haller
Art Unit	
Examiner Name	
Attorney Docket Number	SVL920030123US1

Sheet 2

of

2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/H.P./	AA	"HostBridge and WebSphere: Integrating CICS with IBM's Application Server," a HostBridge White Paper, 7/23/2002, pp. 1-34	
/H.P./	BB	"S1215, WWW.IMS or Websphere Working with IMS," Ken Blackman, 39 pp. (date unknown) pages 1-39, 1/26/2004, /H.P./	
/H.P./	CC	"Attunity Connect for Mainframe, Native OS/390 Adapters to Data and Legacy," 2003, pp. 1-3 12/31/2003, /H.P./	
/H.P./	DD	"Learning Management Systems XML and Web Services," Finn Gronbaek, IBM Corporation, copyright 2001, 4/20/03, pp. 1-29	
	EE		
	FF		
	GG		
	HH		
	II		
	JJ		

Examiner Signature	/Haresh Patel/ (04/07/2008)	Date Considered	04/07/2008
--------------------	-----------------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.